SEC Form 4

FORM 4

obligations may continue. See

Instruction 1(b)

Check this box if no longer subject to Section 16. Form 4 or Form 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB Number: 3 Estimated average burden hours per response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

or Section 30(n) of the investment Company Act of 1940												
1. Name and Address of Reporting Person*		2. Issuer Name and Ticker or Trading Symbol APOGEE ENTERPRISES, INC. [APOG]		tionship of Reporting Perso all applicable)	on(s) to Issuer							
<u>Puishys Joseph F.</u>			X	Director	10% Owner							
(Last) (First) (Mi	iddle)	3. Date of Earliest Transaction (Month/Day/Year)	x	Officer (give title below)	Other (specify below)							
4400 WEST 78TH STREET		11/09/2020		Chief Executive Offi	cer & Pres							
SUITE 520												
,(Street)		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Group Filing	(Check Applicable							
	55435		X	Form filed by One Report	rting Person							
				Form filed by More than Person	One Reporting							
(City) (State) (Zip	p)			reisui								

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V		Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	11/09/2020		М		41,458	A	\$8.34	196,918 ⁽¹⁾	D		
Common Stock	11/09/2020		S ⁽²⁾		41,458	D	\$25.9944 ⁽³⁾	155,460	D		
Common Stock	11/10/2020		М		58,883	A	\$8.34	214,343	D		
Common Stock	11/10/2020		S ⁽²⁾		58,883	D	\$25.5583 ⁽⁴⁾	155,460	D		
Common Stock								127,175	Ι	Family Trust	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option (Right to Buy)	\$8.34	11/09/2020		М			41,458	(5)	08/22/2021	Common Stock	41,458	\$0.00	58,883	D	
Option (Right to Buy)	\$8.34	11/10/2020		М			58,883	(5)	08/22/2021	Common Stock	58,883	\$0.00	0	D	

Explanation of Responses:

1. Includes restricted stock awards under the 2009 Stock Incentive Plan and 2019 Stock Incentive Plan.

2. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on September 21, 2020 which was reported on a Form 8-K filed September 25, 2020. 3. The price reported is the weighted average sale price for the transactions reported. The prices received ranged from \$25.21 to \$26.90. The Reporting Person will provide to the issuer, a security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each price within the range.

4. The price reported is the weighted average sale price for the transactions reported. The prices received ranged from \$25.01 to \$25.81. The Reporting Person will provide to the issuer, a security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each price within the range.

5. Currently 100% exercisable.

Remarks:

EXHIBIT 24: Power of Attorney attached.

<u>/s/ Meghan M. Elliott,</u> <u>Attorney-in-Fact for Joseph F.</u> <u>11/12/2020</u> <u>Puishys</u> ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

KNOW ALL PERSONS BY THESE PRESENTS, that I, Joseph F. Puishys, hereby constitute and appoint Meghan M. Elliott, Ryan Tollgaard, David Wright Walstrom and Judith A. Stone and each of them, my true and lawful attorneys-in-fact and agents, with full power of substitution and resubstitution for me and in my name, place and stead, to sign any reports on Form 3 (Initial Statement of Beneficial Ownership of Securities), Form 4 (Statement of Changes in Beneficial Ownership of Securities) and Form 5 (Annual Statement of Changes in Beneficial Ownership of Securities) relating to transactions by me in Common Stock or other securities of Apogee Enterprises, Inc. and all amendments thereto, and to file the same, with the Securities and Exchange Commission and the appropriate securities exchange, granting unto said attorneys-in-fact and agents, and each of them, or their substitutes, full power and authority to do and perform each and every act and thing requisite or necessary to be done in and about the premises, as fully to all intents and purposes as I might or could do in person, hereby ratifying and confirming all that said attorneys-in-fact and agents, and each of them, or their substitutes, may lawfully do or cause to be done by virtue hereof. This Power of Attorney shall be effective until such time as I deliver a written revocation thereof to the above-named attorneys-in-fact and agents.

By: /s/ Joseph F. Puishys Joseph F. Puishys

Date: September 3, 2020