SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction 1(b).		ed pursuant to Section 16(a) of the Securities Exchange Act of 1934	hours per response: 0.5		
		or Section 30(h) of the Investment Company Act of 1940			
1. Name and Address of Repor Puishys Joseph F.	ting Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>APOGEE ENTERPRISES, INC.</u> [APOG] -	5. Relationship of (Check all applica X Director		n(s) to Issuer 10% Owner Other (specify
(Last) (First) 4400 WEST 78TH STRE SUITE 520	(Middle) ET	3. Date of Earliest Transaction (Month/Day/Year) 04/23/2020	A below)		below)
(Street) MINNEAPOLIS MN	55435	 4. If Amendment, Date of Original Filed (Month/Day/Year) 	Line) X Form file	oint/Group Filing (C ed by One Reportin ed by More than O	ng Person
(City) (State)	(Zip)		Person		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities / Disposed Of (5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	04/23/2020		Α		37 , 193 ⁽¹⁾	Α	\$0.00	163,740 ⁽²⁾	D	
Common Stock								127,175	Ι	Family Trust

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Shares vest over a three year vesting period with one-third of the shares vesting on 4/30/2021, 4/30/2022 and 4/30/2023.

2. Includes restricted stock awards under the 2009 Stock Incentive Plan and 2019 Stock Incentive Plan.

Remarks:

<u>/s/ Patricia A. Beithon,</u> <u>Attorney-in-Fact for Joseph F.</u> 04/24/2020

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** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See